

## QUESTIONNAIRE OF CLASSIFICATION OF THE CLIENT AS A SMALL INVESTOR

In accordance with the Law on Capital Market, we classify you as a Small Investor. In accordance with that, we will execute your orders accordingly with applicable laws and regulations. Upon your special written request which you can send to the e-mail address: [compliance@finveo.mn](mailto:compliance@finveo.mn) and in accordance with the Law on Capital Market, we will provide you with treatment with a lower level of protection that we provide to professional investors, as a lump sum or for each transaction separately.

Small investors can be classified as Professional Investors if they meet at least two of the following criteria:

- a) If the Client has realized at least 10 transactions in each quarter in the last year,
- b) If the size of the Client's portfolio of Financial Instruments exceeds EUR 500,000.00,
- c) If the Client is employed in the financial sector or has worked in the financial sector for at least one year in jobs that require knowledge of the capital market.

Classification of a client as a professional investor implies different regulations when executing orders under the most favorable conditions.

For professional clients, the decisive factor in the execution of the Order is the relative importance of the criteria according to which the order will be executed (not only the price and transaction fees as for Small Investors, but also the speed, probability of order execution and transaction settlement).

Your classification (Small Investor) provides you with the highest level of protection under the Capital Market Act.

### Finveo

The Capital Plaza, Cetinjska 11  
81000 Podgorica, Montenegro  
T +382 20 436 698  
[info@finveo.mn](mailto:info@finveo.mn) • [www.finveo.mn](http://www.finveo.mn)



## Classifying the client as a small investor

### Statement of consent

1. Classifying the client as a small investor

I agree with the classification as a Small Investor.

I / we are explicitly warned that in case of explicit instructions of the Client, Investment Company "Finveo" AD Podgorica will execute the order in accordance with my instructions and that it is not obliged to execute the order under the most favorable conditions in accordance with its own measures and order execution policies.

2. Consent with the policy of execution of orders of the investment company " Finveo " AD Podgorica

I agree with the policy of execution of orders of the investment company " Finveo " AD Podgorica stated on the company web site.

3. Limited orders

If the Client's limited order for a certain regulated market cannot be executed immediately on the relevant market, and considering the conditions from the order, the investment company " Finveo " has no obligation to expose the Client's limited order outside the regulated market or MTP.

4. Execution of orders outside the regulated market or Multilateral Trading Platform

I agree that the investment company Finveo can execute orders outside the regulated market and the Multilateral Trading Platform.

I agree with points 1-4.

Place, \_\_\_\_\_

Date \_\_\_\_\_

Client's signature

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